

**PACIFIC GROWTH EQUITIES, LLC
CUSTOMER IDENTIFICATION PROGRAM NOTICE**

Important Information You Need to Know About Opening A New Account

To help the government fight the funding of terrorism and money laundering activities, U.S. Department of the Treasury, Securities Exchange Commission and NASD rules require financial institutions to have in place a *Customer Identification Program* to obtain, verify and record information that identifies each person or legal entity that opens an account.

Pacific Growth's *Customer Identification Program* requires that we obtain, verify and record the following information about new customers:

Information required to verify the identity of individuals:

When you open an account, Pacific Growth is required to collect certain information from you, such as the following:

- Your name;
- Date of birth;
- Residential address; and
- An identification number:
 - For U.S. Citizens: a taxpayer identification number (social security number or employer identification number)
 - For Non-U.S. Citizens: a taxpayer identification number, passport number and country of issuance, alien identification card number, or government issued photo identification showing nationality and residence.
- You may also need to provide a copy of your driver's license or other identifying documents.

Information required to verify the identity of legal entities:

A corporation, limited liability company, partnership, trust or other legal entity will need to provide other information, such as the address of its principal place of business, employer identification number, certified articles of incorporation, government-issued business license, or a partnership, limited liability company or trust agreement.

The rules also may require you to provide additional information, such as your net worth, annual income, occupation, employment information, investment experience and objectives, and risk tolerance.

If you do not provide the information requested, or your identity cannot be verified, Pacific Growth may not be able to open an account or execute transactions for you. If an account has already been opened, we may have to close it.

Pacific Growth thanks you for your patience and cooperation and is confident that you will support the financial industry's effort to deny terrorists and money launderers access to America's financial system.