

Pacific Growth Equities, LLC Privacy Policy

YOUR PRIVACY IS A PRIORITY TO US.

At Pacific Growth, LLC (“Pacific Growth”), we are committed to safeguarding your personal information. For the purposes of this Policy, “Company” or “we/us” refers to Pacific Growth, LLC.

HOW WE GATHER INFORMATION

As part of providing you with financial products or services, we may obtain information about you from the following sources:

- Applications, forms, and other information that you provide to us, whether in writing, in person, by telephone, electronically, by the Internet or by any other means. This information may include your name, address, employment information, income and tax identification number.
- Your transactions with us. This information may include your account balances and account usage.
- Consumer reporting agencies. This information may include information about your credit worthiness.
- Other third parties including public sources. This information may include addresses, telephone numbers and e-mail addresses.

SHARING INFORMATION OUTSIDE OF OUR COMPANY

We are required to or we may provide information about you as permitted by law to third parties outside of our Company without your consent. Examples may include:

- To execute and settle transactions in your account;
- To respond to a subpoena or court order, judicial process or regulatory authorities;
- To consumer reporting agencies; or
- To protect against fraud.

In addition, we may provide information about you to our service providers to help us service your accounts. These service providers may include, companies which help mail your statements or transaction confirmations, our attorneys or other professionals. The information provided to these service providers may include the categories of information described above under “How We Gather Information”, limited to only that information which we deem appropriate for these service providers to carry out their functions.

OUR FORMER CUSTOMERS

Even if you are no longer a customer, our Privacy Policy will continue to apply to you.

OUR SECURITY PRACTICES AND INFORMATION ACCURACY

We also take steps to safeguard customer information. We restrict access to the personal and account information of our customers to those employees we determine need to know that information in the course of their job responsibilities. We maintain physical, electronic and procedural safeguards that comply with federal standards to protect customer information.

We also have internal controls to keep customer information as accurate and complete as we can. If you believe that any information about you is not accurate please let us know.

OTHER INFORMATION

We also comply with more restrictive state laws to the extent that they apply.

We reserve the right to change this Privacy Policy, and any of the policies described above, at any time. The examples contained within this Privacy Policy are illustrations; they are not intended to be exclusive.

QUESTIONS?

Please contact Pacific Growth Equities, LLC, at (415) 274-6800.